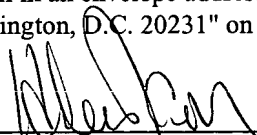




#3

CERTIFICATE OF MAILING

hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, Washington, D.C. 20231" on June 19, 2001.

  
\_\_\_\_\_  
Helen TamIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Sanberg et al.  
SERIAL NO. : 09/801,221  
DATE FILED : March 7, 2001  
FOR : Human Cord Blood As a Source of Neural Tissue for Repair of the Brain and Spinal Cord  
GROUP ART UNIT : 1636

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Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF LATE DECLARATION AND SURCHARGE

S I R :

Please find enclosed herewith are two Declaration documents, duly executed by the inventor, in the above-identified application.

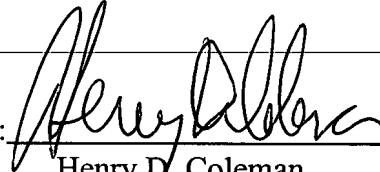
Applicant hereby submits a check for Sixty-Five-Dollars (\$65.00) for late declaration surcharge for an application entitled to small entity status. Additionally enclosed is a copy of a Notice of File Missing Parts.

Kindly charge any additional fees or credit any over-remittance to Deposit Account No.

04-0838. A copy of this transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,  
COLEMAN SUDOL SAPONE, P.C.

By:



Henry D. Coleman  
Reg. No. 32,559

HDC: ht  
enc.

708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090

Dated: June 19, 2001



Sector A #7

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,221	03/07/2001	Paul Sanberg	C14-135

CONFIRMATION NO. 5403

## FORMALITIES LETTER



\*OC00000006151979\*

COLEMAN SUDOL SAPONE, P.C.  
PATENT, TRADEMARK AND COPYRIGHT MATTERS  
14th Floor  
708 Third Avenue  
NEW YORK, NY 10017

Date Mailed: 06/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/27/2001 BNGUYEN1 00000124 09801221

01 FC:205

65.00 DP

A copy of this notice **MUST** be returned with the reply.

S.H

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY